



# AuditOnline



Government of India | Ministry of Panchayati Raj

Languages ▾ A- A A+



## AuditOnline

Facilitating Audit in Government

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## FACILITATING AUDIT IN GOVERNMENT

AuditOnline is one of the generic and open source applications developed as a part of Panchayat Enterprise Suite (PES) under e-panchayat Mission Mode Project (MMP) initiated by the Ministry of Panchayati Raj (MoPR). It facilitates internal & external audit of Government department / Panchayati Raj Institutions.

[Read more →](#)



**URL:** <https://auditonline.gov.in>

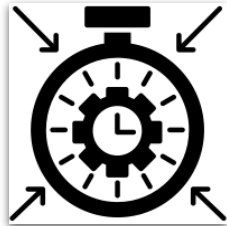
# AuditOnline Background

- The Central Finance Commission devolved huge amounts of grants to rural local bodies administered by the panchayats. It was therefore imperative that the fund flows up to GPs be tracked and the expenditure incurred is monitored periodically.
- The 15th Finance Commission in its recommendation has expressed concerns over the unavailability of audited accounts at the local body level and in its interim report emphasized making audited accounts available in a timely manner
- A holistic mechanism was needed to capture the entire gamut of an affair at the planning stage to monitor the various stages of work documenting the audit process on a real-time basis,
- Streamlining Information/ Data Flow: As the audit process flow varies for each State, the information flow also varied hence there was a need arising for a configurable application to track and manage every minute instance.
- Most States were already on-board PRIASoft – PFMS Interface (PPI); hence pilot test to be carried out for 14th Finance Commission accounts for FY 2019-20
- AuditOnline also to be extended to other schemes of Panchayats in a gradual manner
- AuditOnline envisaged scaling up the transparency process

# Objectives of AuditOnline



Internal and External Audit Facilitation for Government Dept. and Institutions.



To Optimize the Heavily time-invested process.



Yearly report generation and its management was a tedious task.

A platform for online recording of observation/ Para.



No traceability about Gram Panchayats Audited accounts.



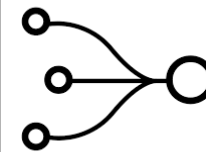
Recording responses against the observations recorded



To eliminate the People-centric manual intervening process.



To emphasize on Accountability and Transparency of Audit process.



Streamlining of the audit process for better management.

Traditional paper-based approach elimination.



Monitoring challenges were arising gradually.



Generating various reports for analysis and decision support.



# AuditOnline Features

- ✓ A single platform for the entire government to use, with a robust, scalable enterprise version that meets the needs of all State/PRI departments.
- ✓ Renounced the traditional audit process by implementing an online system for auditing that is lucid, accountable, and streamlined and is integrated with eGramSwaraj for fetching varied account reports.
- ✓ Flexible and adaptable, the programmable interface can meet the requirements of both internal and external audit assessments of PRIs/Government agencies.
- ✓ Seamless mapping of audit processes between assessors and auditees followed by ATR provides for rapid response, self-directed feedback, and follow-up analysis thus enriching reasonable supervision.
- ✓ Propensity to create dynamic forms to record case details (Case Records) and facts (Fact Sheets), capturing investigator comments based on defined parameters, and narrowing it down to the quality of their investigations.
- ✓ Provide categories and subcategories of risk-based audit observations for auditors/auditees to identify potential areas for improvement and determine their importance.
- ✓ CAG Audit Certificate Incorporated and the software's adaptability to the variations across state templates enables you to generate different types of reports per customizable report templates.

# Distinctive Attributes of AuditOnline

Enables qualitative tags for audit observation into categories/Sub-categories

Categories and Sub-categories



Dynamic form designer allows designing the forms for case record and fact sheet dynamically keying in the formula and necessary fields with required validations

Dynamic form and designer



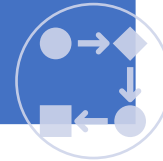
Configurable for both Internal and external audit of PRI and Line departments

Fully Configurable



Allows any type of workflow to be configured. Seamlessly maps the processes of Auditor and Auditee and between Auditor and Auditee

Workflow enabled



Enables easy constitution and managing of audit teams and preparing the audit schedule by assign an Audit team to an Auditee

Audit Team and Schedule Management



Captures complete process of the audit and enables reply and follow up instantaneously and amenable for analysis and monitoring

Easy Communication and Follow-Up



Allows generation and download of various graphical reports in PDF and excel format for easy analysis and monitoring based on customizable report templates

Customizable Reports

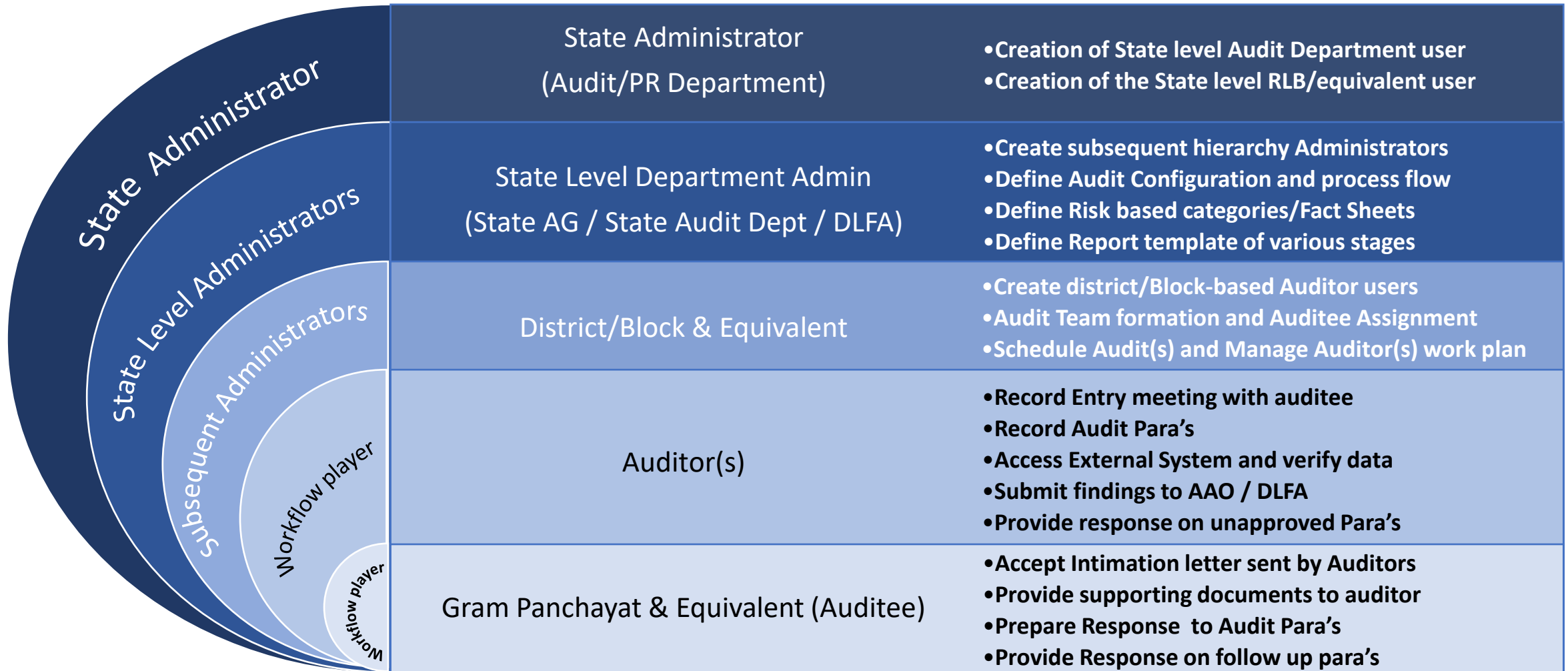


Get notified for everything you receive via email/SMS/System based alerts.

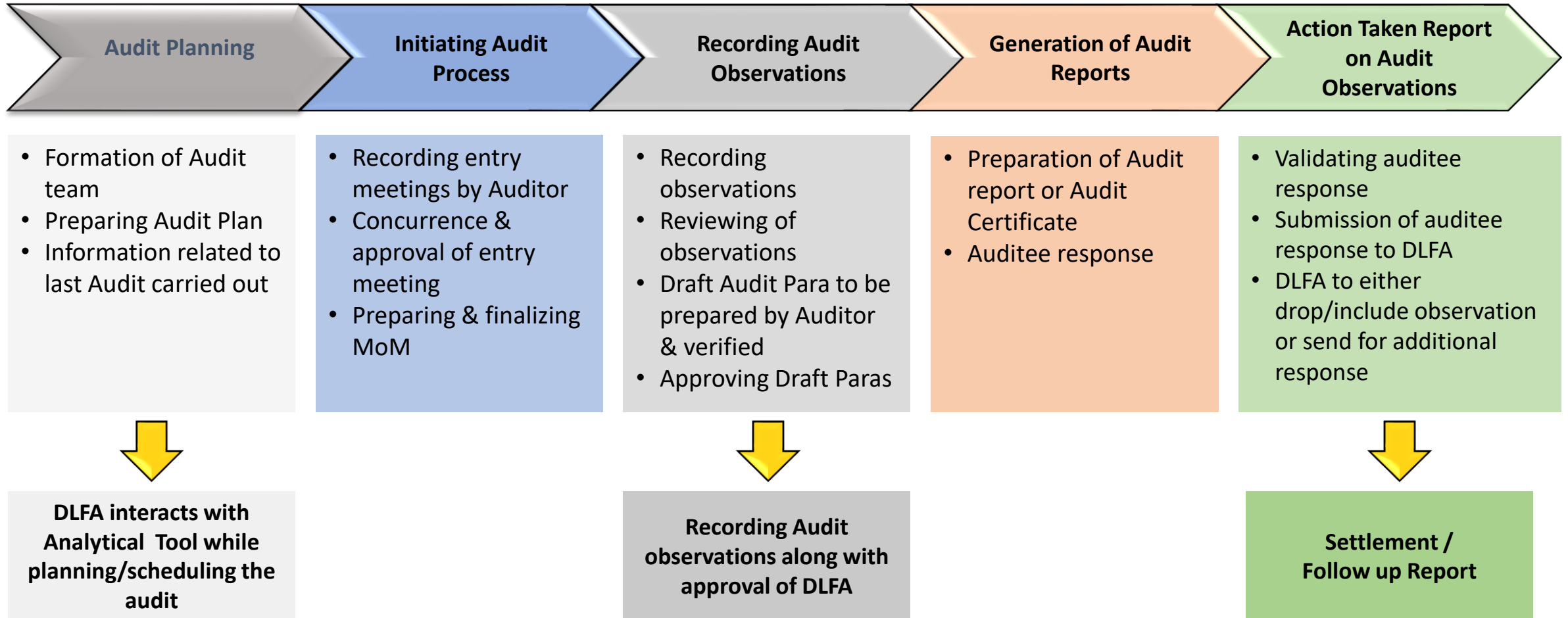
Notifications



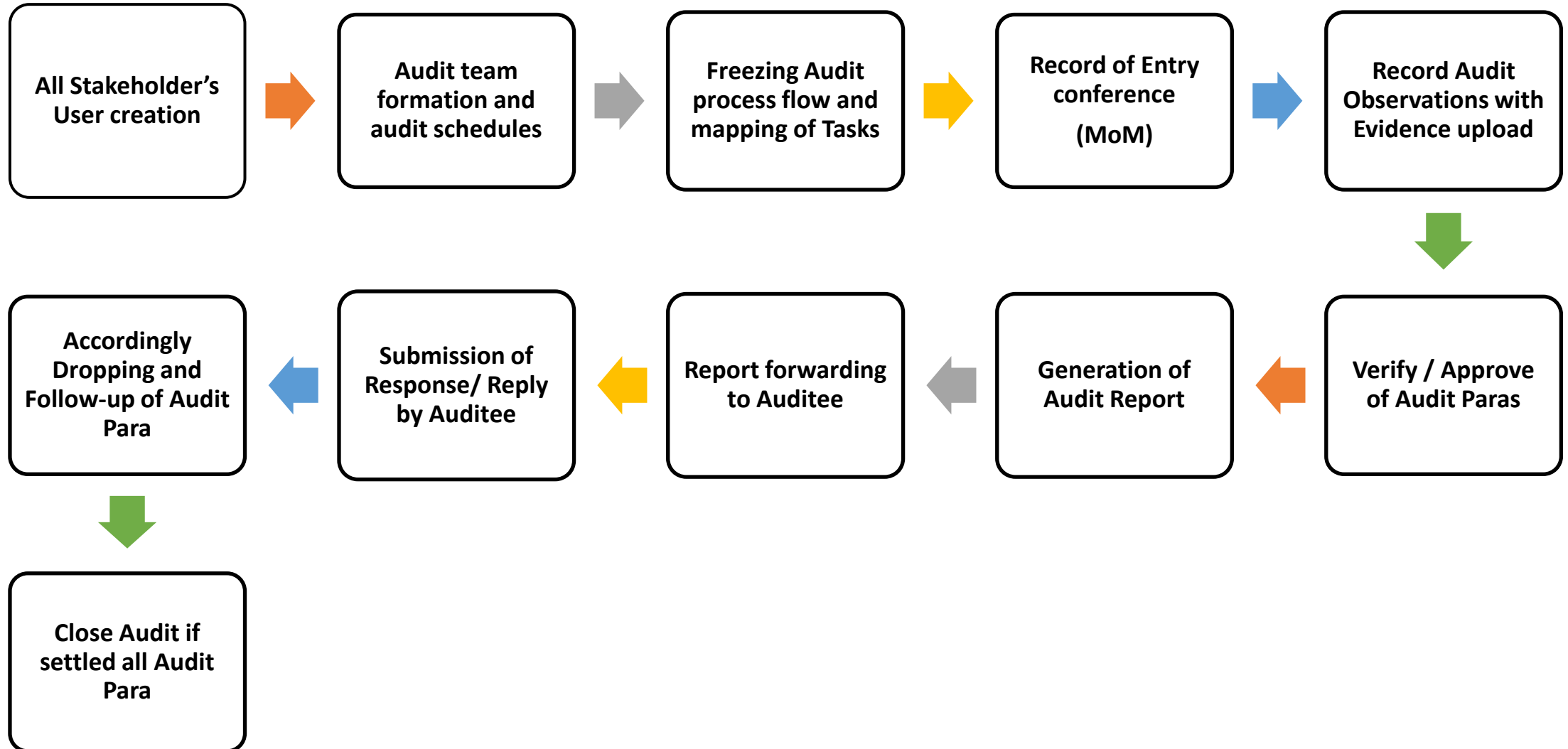
# AuditOnline: Key Stakeholders



# Sequential Steps of AuditOnline



# Audit Online Process Flow





# Sending a Task type 2

Send Task

```
graph TD; A[Task-A (From)] --- B1[Task- B (TO)]; A --- B2[Task- B (TO)]; A --- B3[Task- B (TO)];
```

**TO \*** → **To Users** Designation Mapped with Task-B shall appear here (multiple allowed)

**CC** → **CC Users** Designation Mapped with Task-B to share the details as carbon copy shall appear (multiple allowed)

**Date of Reply:** → **Date of Reply** Any date by which a reply is expected shall be selected here

← Back Send ↗ ✕ Close

# Screenshots – Audit Stages (1/3)

**Add Last Audit Schedule**

Configuration Name \*

Nature Of Audit \*

Entity Name \*

Zilla Parishad \*

Mandal Panchayat \*

Grama Panchayat \*

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**Last Audited Details Added**

Show  entries Search:

S.No.	Auditee Name	Label.ComponentName	Last Audit Record Available?	Audit Period	Uploaded Files
1	Bans Kheri	14FC DEEN DAYAL UPADHYAY GRAMIN JYOTI YOJANA (DDUGJY) INTERGTAED POWER DEVELOPMENT SCHEME (IPDS) NATIONAL ELECTRICITY FUND (NEF) NATIONAL MEDIUM AND SMALL ENTERPRISE DEVELOPMENT FUND (NMEDF)	No	--	--

Showing 1 to 1 of 1 entries Previous **1** Next

Audit Planning

**Record Entry Meeting**

Office Name

Meeting Type

Date Of Meeting

Select Participant \*

- Assistant Audit Officer - Srinivas Kumar
- District Local Fund Auditor - Narayana Shrivastava
- Junior Auditor - VV Rao Kumar
- Panchayat Secretary - ajit chaudhary
- Others

Attach Files

Introduction

**B I U** |

Record Entry Meeting

# Screenshots – Audit Stages (2/3)

Nature Of Audit	Scheme
Auditee Office	A.KONDURU
Period Being Audited	Apr 1, 2018 - Mar 31, 2019
Reporting Period	Jan 29, 2020 - Feb 10, 2020
<hr/>	
Component *	14FC
Observation Type *	Observation Only
<hr/>	
Category *	02 - Excess Utilization of Grant
Sub Category *	Expenditure incurred in excess of grant received
<a href="#">View External System</a>	
Audit Observation *	<div style="border: 1px solid #ccc; padding: 5px;"> <p><b>B I U</b> [List Icons] Font - Size - [Color Icons]</p> </div>

Record observation & Draft Audit Para

1  Component : 14FC [Close]

Observation Type : Receipt

Category / Subcategory: 02 - Excess Utilization of Grant / Expenditure incurred in anticipation of sanction of grant in spite of non receipt of any amount

**Record Observation and Prepare Draft Audit Paras ( Subhash Mathur )** Copy 0

During scrutiny of records and statement of delay payment of wages provided to the Audit, it was noticed that no payment was released on account of delayed payment of wages by any BDO/GP to eligible applicants. This is gross violation of MGNREGA scheme. Block wise details of the same is prepared on the basis of statement, are as under:-

**Remarks \*** [Link]

**B I U** [List Icons] Font - Size - [Color Icons]

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Accepted

[Smiley] [1]

body p

✔ Accept
✘ Reject
🗑 Discard

Verifying Draft Audit Para

# Screenshots – Audit Stages (3/3)

Prepare Response on Audit Report by Panchayat Sect	
Nature Of Audit	Scheme
Auditee Office	Bans Kheri
Period Being Audited	Apr 1, 2018 - Mar 31, 2019
Reporting Period	Jan 29, 2020 - Feb 10, 2020

[View Report](#)

AUDITOR OBSERVATION	AUDITEE RESPONSE
<p>Audit Observation 2</p> <p>The assessee filed annual returns for the years 2012-13 and 2013-14 and disclosed total/taxable turnovers as above. The assessee is a dealer in Rubberized Coir Products which is a non taxable item. The assessee was not paying any tax for these years. The assessee is effecting 6(2) purchase of rubberized products and rubber sheets for Rs.5683491 and Rs.8196524 and Rs.3907377 for the years 2011-12, 2012-13 and 2013-14 respectively. As per section 12(b) of KVAT Act, the assessee is not eligible for special rebate. Hence short levy of purchase tax in this regard worked out to Rs. 726996/- for the said years.</p>	<div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <span><b>B</b></span> <span><b>I</b></span> <span><b>U</b></span> <span>☰</span> <span>☰</span> <span>☰</span> <span>☰</span> <span>☰</span> <span>🖼️</span> <span>📄</span> <span>🔍</span> <span>Font</span> <span>Size</span> </div> <p>The assessee was not paying any tax for these years. The assessee is effecting 6(2) purchase of rubberized products and rubber sheets for Rs. 5683491 and Rs. 8196524 and Rs. 3907377 for the years 2011-12, 2012-13 and 2013-14 respectively. As per section 12(b) of KVAT Act, the assessee is not eligible for special rebate. Hence short levy of purchase tax in this regard worked out to Rs. 726996/- for the said years.</p>

Response against Audit Report by Auditee

Response on Followup of Audit Paras by Panchayat Sect	
Nature Of Audit	Scheme
Auditee Office	A.KONDURU
Period Being Audited	Apr 1, 2018 - Mar 31, 2019
Reporting Period	Jan 29, 2020 - Feb 10, 2020

[View Report](#)

AUDITOR OBSERVATION	AUDITEE RESPONSE
<p>Audit Observation 3</p> <p>Audit Observation 3</p>	<div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <span><b>B</b></span> <span><b>I</b></span> <span><b>U</b></span> <span>☰</span> <span>☰</span> <span>☰</span> <span>☰</span> <span>☰</span> <span>🖼️</span> <span>📄</span> <span>🔍</span> <span>Font</span> <span>Size</span> </div> <p>Audit Observation 3</p> <p>Audit Observation 3</p>

Review on Follow-up observations by Auditee

**THANK YOU**